PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

1 7

Docket No: Q77656

Berthold WEDDING

Appln. No.: Not Assigned

Confirmation No.: Not Assigned

Group Art Unit: Not Assigned

Filed: September 30, 2003 Examiner: Not Assigned

For: TRANSMITTER AND PRECODER FOR OPTICAL MSK SIGNALS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

' INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.:

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted.

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23373 CUSTOMER NUMBER

Date: September 30, 2003

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Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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		Not Assigned				
	Application Number Confirmation Number Filing Date First Named Inventor Art Unit	Not Assigned				
		September 30, 2003				
		Berthold WEDDING				
		Not Assigned				
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	Examiner Name					
	Attorney Docket Number	Q77636				
	Attorney Docket Number	Q77656				

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			U.S.	PATENT DOCU	MENTS
Examiner Initials*	Cite No.1	Document Nu Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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		OR DOWN BY AS MUCH AS SEVERING SEPTEMBER 2000, pp. 1-2, XP002207638	

	
	Date Considered
Examiner Signature	

^{*}EXAMINER: Initial if reference considered, whether or not classion is in conformance with MPEP 609. Draw line through classion if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USFTO Patent Documents at www.uspio.gov, MPEP 901.04 or in the comment box of this document. ¹ Enter Office that stated the document by the two-letter code (WIPO Standard ST. 3), ²For Japanese patent documents, the indication of the year of the reign of the Ensperor must precede the serial number of the following the comment of the serial state of the reign of the Ensperor must precede the serial state of the comment of the serial state of the reign of the Ensperor must precede the serial state of the serial state